



**AGS**

**AI GOVERNANCE  
STANDARD**

INDEPENDENT. RESPONSIBLE. TRUSTED.

# **AGS VERIFIED ASSESSMENT QUESTIONS**



**AGS**  
VERIFIED

# Introduction to Assessment

## How the AGS Assessment Works

The AI Governance Standard (AGS) provides a structured, proportionate way for organisations to demonstrate responsible use of artificial intelligence.

The assessment is designed to be clear, practical, and aligned with real-world organisational use of AI.

### 1. Complete the Assessment

Organisations use the ASG portal to complete a short online assessment covering:

- governance and policy
- use of AI tools
- human oversight
- data handling
- training and awareness
- monitoring and transparency

### 2. Critical Controls

The assessment includes a small number of critical controls.

These represent the minimum requirements for responsible AI use, including:

- defined policy and oversight
  - human accountability
  - verification of AI outputs
  - protection of sensitive information
- All critical questions must be answered “Yes” to achieve certification

### 3. Scoring

In addition to the critical controls, the assessment includes a broader set of governance and operational questions.

To achieve AGS Verified status:

- organisations must meet all critical controls, and
- achieve a score of approximately 90% across the remaining questions

This ensures a high standard while remaining proportionate and achievable

### 4. Review Process

Where an organisation does not fully meet the threshold, the application may be placed into Review.

This allows organisations to:

- provide additional context
- clarify how controls are applied
- submit supporting evidence where appropriate

The review process is designed to be constructive and proportionate, recognising that organisations may be at different stages of AI adoption.

### 5. Certification Outcome

Following assessment:

- AGS Verified – awarded where requirements are met
- Review Required – additional information needed
- Not Certified – significant gaps identified

Successful organisations receive:

- AGS Verified certificate
- right to use the AGS mark
- listing (where applicable)

### 6. Ongoing Validity

Certification is valid for a defined period and may be subject to:

- periodic review
- re-assessment
- updates as AI practices evolve



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# Assessment Questions

## CRITICAL QUESTIONS (ORGANISATION MUST ACHIEVE A PASS IN ALL QUESTIONS)

1. Does your organisation have a documented policy governing the use of AI tools?
2. Is the AI policy accessible to all staff?
3. Are staff provided with written guidance on acceptable and prohibited uses of AI tools?
4. Has a named individual been assigned responsibility for oversight of AI use within the organisation?
5. Is accountability for all work produced by the organisation retained by a human, regardless of AI use?
6. Does your organisation require AI-generated outputs to be checked for accuracy before use?
7. Does your organisation have controls in place to prevent staff from entering sensitive organisational or client information into publicly accessible AI tools?

## SECTION 1 - 7 ORGANISATIONS MUST RECIEVE 90% TO ACHIEVE CERTIFICATION

### SECTION 1 – GOVERNANCE & POLICY:

1. Is the AI policy communicated to staff?
2. Is the AI policy reviewed at least annually?
3. Are changes to AI-related risks or controls communicated to staff?
4. Does the organisation define and maintain a list of approved AI tools for use?

### SECTION 2 – AI USAGE CONTROLS:

5. Are staff required to follow defined procedures when using AI tools?
6. Are AI-generated outputs checked for accuracy before being used?
7. Are AI tools restricted for use in activities where errors could result in financial, legal, or safety risks?
8. Is AI-generated content clearly identifiable where it is used within the organisation?

### SECTION 3 – HUMAN OVERSIGHT:

9. Are staff required to correct errors identified in AI-generated outputs?
10. Are higher-risk uses of AI identified by the organisation?
11. Are additional controls applied to higher-risk uses of AI?
12. Are staff required to apply their own judgement when using AI-generated outputs?
13. Does the organisation have a process for identifying inaccurate, biased or misleading AI-generated outputs?

### SECTION 4 – DATA & INFORMATION HANDLING:

14. Are staff provided with guidance on how organisational or client data may be used in AI tools?
15. Are controls in place to prevent unauthorised use of organisational or client data in AI tools?
16. Does the organisation define which types of data must not be used in AI tools?

### SECTION 5 – TRAINING & AWARENESS:

17. Have staff received guidance or training on responsible use of AI tools?
18. Are staff informed of the limitations of AI-generated outputs?
19. Are new staff provided with guidance on the organisation's AI policy during onboarding?
20. Are staff instructed to report misuse or concerns relating to AI use?

### SECTION 6 – MONITORING & OVERSIGHT:

21. Does the organisation review how AI tools are being used on a periodic basis?
22. Is there a process for reporting AI-related incidents or misuse within the organisation?
23. Are issues relating to AI use recorded when identified?
24. Are actions taken to address issues identified in AI use?
25. Can the organisation identify where AI tools have been used in its workflows if required?

### SECTION 7 – TRANSPARENCY:

26. Does the organisation define when clients are informed of the use of AI in service delivery?
27. Is AI-generated content clearly identifiable where it is shared externally?
28. Are staff provided with guidance on how AI use should be communicated to clients or external parties?

